

## Sandbag's voting recommendations

24 February 2015

**This afternoon, the ENVI committee votes on its response to the Commission proposal to fix the EU carbon market through the introduction of a Market Stability Reserve (MSR). Below we provide an annotated copy of the final voting order with Sandbag's voting recommendations presented in place of the rapporteur's.**

Our analysis finds that the Commission proposal needs to be improved substantially if it is to help the carbon market deliver on the cost-effective emissions reductions it once promised.

We argue that the Commission proposal needs to start much earlier than 2021, preferably by July 2016. The backloaded allowances must be prevented from returning to market, an issue that equally applies to the unused allowances, an issue that Sandbag first highlighted. Both must not flood the market at the end of Phase 3 if the oversupply is not to worsen before the MSR takes effect.

We have also argued that, either now, or in its first review, the thresholds and adjustments in the Commission proposal will need to be made tighter and more aggressive. Finally, we have argued that billions of allowances will need to be cancelled from the scheme both to get Europe back on the cost-effective path to 2050, and to ramp up global ambition ahead of this year's climate conference in Paris.

These views are reflected in the voting recommendations below (as ++), however, we also recognise that there are slightly less ambitious amendments which still represent significant progress on the Commission proposal and should be supported as satisfactory compromises or acceptable fallbacks, and these have also receive our qualified endorsement (+). We have stayed silent on the issues over which we do not feel strongly and have only rejected proposals that we feel represent inadequate progress or even regress from the Commission's original proposal (-).

**We hope you will take this opportunity to vote for a Market Stability Reserve that can act as a genuine fix to the carbon market's problems, establishing a carbon price signal that is meaningful without being punitive, while reducing the danger of *ad hoc* political interventions or policy fragmentation across Europe.**

### About Sandbag

Sandbag is a UK-based not-for-profit think tank conducting research and campaigning for environmentally effective climate policies.

Our research focus includes reform of the EU Emissions Trading Scheme, the EU 2020 and 2030 climate & energy packages, Carbon Capture Storage & Utilisation, and the persistence of old coal in Europe. The International Centre for Climate Governance ranks us in the top twenty global climate think tanks.

For more information phone (+44) 02071 486377, visit our website at [www.sandbag.org.uk](http://www.sandbag.org.uk), or email us at [info@sandbag.org.uk](mailto:info@sandbag.org.uk)

### Sandbag's annotated VOTING LIST

**on the proposal for a decision of the European Parliament and of the Council  
concerning the establishment and operation of a market stability reserve for the Union  
greenhouse gas emission trading scheme and amending Directive 2003/87/EC**

PR – PE541.353v02-00 + AM– PE544.331v02-00

Rapporteur: Ivo Belet

| Concerned text               | Am No | Tabled by  | Remarks  | Sandbag | Contents                  |
|------------------------------|-------|--|--|---------|---------------------------|
| Draft legislative resolution | AM 12 | Jadwiga Wiśniewska   | <u>Rejection of Commission proposal - if adopted, all AMs fall</u> |         | Rejection of MSR proposal |
| Paragraph 1                  | AM 16 | João Ferreira, Luke Ming Flanagan, Teresa Rodriguez-Rubio, Pablo Echenique |  | -       |                           |

### ARTICLES

#### Start date - market stability reserve

|                                |                |                            |  |    |                                    |
|--------------------------------|----------------|----------------------------|--|----|------------------------------------|
| <b>Article 1 – paragraph 1</b> | <b>COMP 1A</b> | GUE/NGL; Greens/EFA ; EFDD | If adopted, the following AMs fall:<br><b>85-97, corres.parts: 7, 115-132, AMs 160-169; AMs 221-227; 228, 2, 19-34</b> | ++ | Jan 2017 start<br>May 2016 publish |
|                                | <b>COMP 1B</b> | EPP; S&D; ALDE             | If adopted, the following AMs fall:<br><b>85-97, corres.parts:</b>   | +  | 2018 start (by Dec)                |

| Concerned text          | Am No | Tabled by   | Remarks   | Sandbag | Contents                  |
|-------------------------|-------|---|---|---------|---------------------------|
|                         |       |   | <b>7, 115-132,<br/>AMs 160-169; AMs<br/>221-227;<br/>228, 2, 19-34</b><br><br><b>Falls if<br/>COMP 1A<br/>adopted</b> |         |                           |
| Article 1 – paragraph 1 | AM 85 | Bas Eickhout  | Identical   | ++      | Start on July 1, 2016     |
|                         | AM 90 | Seb Dance,<br>Paul Brannen  | <b>Falls if<br/>COMP 1<br/>adopted</b>  |         |                           |
|                         | AM 86 | Ian Duncan  | Identical   |         | Start on January 1, 2017. |
|                         | AM 87 | Merja Kyllönen,<br>Josu Juaristi<br>Abaunz,<br>Stefan Eck,<br>Katerina Konecná                                | <b>Falls if<br/>COMP 1 or<br/>AMs 85/90<br/>adopted</b>   |         | Start on January 1, 2017. |
|                         | AM 88 | Jan Huitema   |   |         |                           |
|                         | AM 92 | Gerben-Jan Gerbrandy,<br>Fredrick Federley,<br>Catherine Bearder  |   |         |                           |
|                         | AM 94 | Peter Liese,<br>Sirpa Pietikäinen,<br>Dubravka Šuica, Karl-Heinz Florenz,<br>Bendt Bendtsen,<br>Alojz Peterle |   |         |                           |
|                         | AM 95 | Giovanni La Via   |   |         |                           |
|                         | AM 96 | Massimo Paolucci,<br>Renata Briano,   |   |         |                           |

| Concerned text | Am No | Tabled by   | Remarks  | Sandbag | Contents   |
|----------------|-------|---|--|---------|--|
|                |       | Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro, Nicola Caputo   |  |         |  |
|                | AM 97 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Guillaume Balas, Nessa Childers, Miriam Dalli, Jo Leinen, Pavel Poc, Giorgos Grammatikakis |  |         |  |
|                | AM 89 | Jadwiga Wiśniewska  | <b>Falls if COMP 1, AMs 85/90 or 86/87/88/92/94/95/96/97 adopted</b>     | -       | Start on January 1, 2023.  |
|                | AM 91 | Andrzej Grzyb, Jerzy Buzek, Elżbieta Katarzyna Łukacijewska   | <b>Falls if COMP 1, AMs 85/90, 86/87/88/92/94/95/96/97 or 89 adopted</b> | -       | Start on January 1, 2022 if October Council conclusions implemented. |
|                | AM 93 | Markus Pieper   | <b>Falls if COMP 1, AMs 85/90, 86/87/88/92/94/95/96/97, 89 or 91</b>     | -       | Start on January 1, 2021, and let the backload come to market.       |

| Concerned text                  | Am No          | Tabled by                  | Remarks  | Sandbag | Contents          |
|---------------------------------|----------------|----------------------------|--|---------|-------------------|
|                                 |                |                            | <b>adopted</b>   |         |                   |
| <b>Backloaded allowances</b>    |                |                            |  |         |                   |
| Article 1 – paragraph 1 a (new) | <b>COMP 4A</b> | GUE/NGL; Greens/EFA ; EFDD | <b>If adopted, the following amendments fall: 99, 176-178, 101/6/98/100 102; 184/185/9 and 3, 36, 38, 39, 47, 48, 49, 55, 56, 75 and AM 167 corresp. part; 99, 133, 188 and 150</b>  | ++      | CXL the backload  |
|                                 | <b>COMP 4B</b> | EPP; S&D; ECR; ALDE        | <b>If adopted, the following amendments fall: 99, 176-178, 101/6/98/100 102; 184/185/9 and 3, 36, 38, 39, 47, 48, 49, 55, 56, 75 and AM 167 corresp. part; 99, 133, 188 and 150</b><br><br><b>Falls if COMP 4A adopted</b> | ++      | Backload into MSR |

| Concerned text  | Am No  | Tabled by  | Remarks   | Sandbag | Contents                        |
|---|--------|--|---|---------|---------------------------------|
| Article 2 – paragraph 1 – point 3 a (new)<br>Article 10 – paragraph 1 b (new)   | AM 176 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Seb Dance, Guillaume Balas, Nicola Caputo, Nessa Childers, Jo Leinen, Giorgos Grammatikakis | Identical<br><b>Falls if COMP 4 adopted</b>   | ++      | Cancel the backload             |
|   | AM 178 | Seb Dance, Paul Brannen  |   |         |                                 |
|   | AM 177 | Giovanni La Via  | <b>Falls if COMP 4 or AMs 176/178 adopted</b>   | ++      | Cancel half the backload        |
| Article 1 – paragraph 1 a (new)<br><br>Article 2 – paragraph 1 – point 3 f (new)<br><br>Article 10 – paragraph 4 – subparagraph 1 | AM 101 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro  | Almost identical<br><b>Falls if COMP 4 or AMs 176/178 or 177 adopted</b><br><i>AM 167 Part "In 2019 and 2020....in the reserve" corresponds</i> | ++      | Place the backload into the MSR |
|   | AM 98  | Ian Duncan   |   |         |                                 |
|   | AM 100 | Gerben-Jan Gerbrandy, Fredrick Federley, Catherine Bearder   |   |         |                                 |
|   | AM 184 | Josu Juaristi Abaunz,  |   |         |                                 |

| Concerned text             | Am No  | Tabled by   | Remarks   | Sandbag | Contents  |
|----------------------------|--------|---|---|---------|---|
|                            |        | Stefan Eck,<br>Katerina<br>Konecná,<br>Merja<br>Kyllönen                  | <i>AMs<br/>184/185:<br/>corresponding<br/>amendment<br/>to Directive<br/>2003/87/EC</i>                     |         |   |
|                            | AM 185 | Ian Duncan  |   |         |   |
|                            | AM 9   | Rapporteur  | Vote together<br>- one concept  |         | Place the backlog<br>into the MSR (but<br>start in 2021).                                     |
|                            | AM 6   | Rapporteur  | <b>Falls if<br/>COMP 4,<br/>AMs<br/>176/178, 177<br/>or<br/>101/98/100/<br/>184/185<br/>adopted</b>         | +       |   |
|                            | AM 102 | Mark<br>Demesmaeker   | <b>Falls if<br/>COMP 4,<br/>AM 176/178,<br/>177 or<br/>101/98/100<br/>/184/185/9<br/>or 9/6<br/>adopted</b> | -       | Place the backlog<br>into the MSR (but<br>start in 2021 and use<br>it for carbon<br>leakage). |
|                            |        |   |   |         |   |
|                            | AM 99  | Andrzej<br>Grzyb, Jerzy<br>Buzek,<br>Elzbieta<br>Katarzyna<br>Lukacjewska | <b>Falls if<br/>COMP 4<br/>adopted</b>  | -       | Never cancel<br>MSR:ed EUAs.  |
| <b>Publication</b>         |        |   |   |         |   |
| Article 1 –<br>paragraph 2 | AM 110 | Andrzej<br>Grzyb, Jerzy<br>Buzek,<br>Elzbieta<br>Katarzyna<br>Lukacjewska | <b>Falls if<br/>COMP 1,<br/>AMs 85/90,<br/>86/87/88/92/9<br/>4/95/96/97<br/>(pp.1-3)<br/>adopted</b>        | -       | Publish volume of<br>allowances in<br>circulation in May<br>2020.                             |
|                            | AM 108 | Jadwiga   | <b>Falls if</b>   |         | Publish volume of   |

| Concerned text | Am No  | Tabled by   | Remarks  | Sandbag | Contents   |
|----------------|--------|---|--|---------|--|
|                |        | Wiśniewska  | <b>COMP 1<br/>AMs 85/90,<br/>86/87/88/92/9<br/>4/95/96/97<br/>(pp.1-3)<br/>adopted</b><br><br><b>Falls if AM 110 adopted</b> | -       | allowances in circulation in May 2019.                   |
|                | AM 104 | Bas Eickhout  | Identical<br><br><b>Falls if AM 110 or 108 adopted</b>   | ++      | Publish volume of allowances in circulation in May 2016. |
|                | AM 105 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Katerina Konecná                                  |  |         |  |
|                | AM 106 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro |  |         |  |
|                | AM 107 | Ian Duncan  |  |         |  |
|                | AM 109 | Seb Dance, Paul Brannen   |  |         |  |
|                | AM 111 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburrano, David Borrelli                 |  |         |  |
|                | AM 112 | Giovanni La Via   |  |         |  |
|                | AM 113 | Matthias Groote, Claudiu  |  |         |  |

| Concerned text                  | Am No         | Tabled by  | Remarks  | Sandbag | Contents   |
|---------------------------------|---------------|--|--|---------|--|
|                                 |               | Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Seb Dance, Nessa Childers, Miriam Dalli, Pavel Poc, Jo Leinen, Giorgos Grammatikakis |  |         |  |
|                                 |               |  |  |         |  |
| Article 1 – paragraph 2 a (new) | AM 114        | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacjewska   |  | -       | MSR cannot touch EUAs for electricity modernisation.   |
| Article 1 – paragraph 3         | <b>COMP 2</b> | <b>EPP; S&amp;D; ECR; ALDE</b>   | <b>If adopted, the following amendments fall: 7, 115-132 and AMs 135-143 and corresponding parts of 2, 19-34</b> | +       | x-1, without undue delay   |
| Thresholds: "upper"             |               |  |  |         |  |
| Article 1 – paragraph 3         | AM 130        | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli   | <b>Falls if COMP 2 adopted</b><br><i>Part "July 2016" corresponds to AMs 85/90</i>                               | ++      | 2016 Jul start, 25% adjustment, 1 year response, 600 Mt upper threshold, 1 billion MSR haircut |
|                                 | AM 116        | Bas Eickhout   | <i>Part "July 2016"</i>  |         | start 2016 July, 1 year lag, 25% of tot into reserve,  |

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|                |        |   | <i>corresponds to AMs 85/90</i><br><br><b>Falls if COMP 2, AM 130 adopted</b>  | ++      | 600Mt upper threshold  |
|                | AM 118 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Katerina Konecná  | <i>Part "2017" corresponds to AMs 86/87/88/92/94/95/96/97</i><br><br><b>Falls if COMP 2, AM 130 or 116 adopted</b>                     | ++      | start 2017 Jun, 1 year lag, 33% of tot minus 833Mt,  |
|                | AM 122 | Seb Dance, Paul Brannen   | <i>Part "1 January 2017" corresponds to AMs 85/90</i><br><br><b>Falls if COMP 2, AM 130, 116 or 118 adopted</b>                        | ++      | start Jan 2017, 1 year lag, 20% of tot into reserve unless < 167Mt, implicit 835 Mt upper threshold        |
|                | AM 132 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Pavel Poc, Giorgos Grammatikakis, Nessa Childers, Jo Leinen, Nicola Caputo, Miriam Dalli | <i>Part "1 January 2017" corresponds to AMs 86/87/88/92/94/95/96/97</i><br><br><b>Falls if COMP 2, AM 130, 116, 118 or 122 adopted</b> | ++      | start <u>JUN</u> 2017, 1 year lag, 20% of tot into reserve unless < 167Mt, implicit 835 Mt upper threshold |
|                | AM 123 | Gerben-Jan Gerbrandy,   | <i>Part "2017" corresponds</i>   |         | 2017 start, 20% adjustment, 1 year   |

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|                |        | Fredrick Federley, Catherine Bearder  | <i>to</i><br>86/87/88/92/<br>94/95/96/97<br><br><b>Falls if COMP 2, AM 130, 116, 118 122, or 132 adopted</b>  | ++      | response, implicit 500 Mt upper threshold  |
|                | AM 117 | Ian Duncan  |   |         | start 2017, 1 year lag, 12% upper threshold, implicit 833 Mt upper threshold     |
|                | AM 119 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro | Identical<br><br><i>Part "2017" corresponds to AMs 86/87/88/92/ 94/95/96/97</i><br><br><b>Falls if COMP 2, AM 130, 116, 118, 122, 132, or 123 adopted</b> | ++      | start 2017, 1 year lag, 12% upper threshold, implicit 833 Mt upper threshold     |
|                | AM 120 | Jan Huitema   |   |         | start 2017, 1 year lag, 12% upper threshold, implicit 833 Mt upper threshold     |
|                | AM 125 | Jan Huitema   | <i>Amendment withdrawn</i>  | W       | <i>Amendment withdrawn</i>   |
|                | AM 131 | Peter Liese, Sirpa Pietikäinen, Dubravka Šuica, Karl-Heinz Florenz, Bendt Bendtsen, Alojz Peterle   | <i>Corresponds to AMs 86/87/88/92/ 94/95/96/97</i><br><br><b>Falls if COMP 2, AM 130, 116, 118, 122, 132, 123 or 117/119/120/ 125 adopted</b>             | ++      | 2017 start, 12%, 2 year lag, implicit 833 Mt upper threshold                     |
|                | AM 121 | Jadwiga Wiśniewska  | <i>Part "2023" corresponds to AM 89</i><br><br><b>Falls if</b>  | -       | start 2023, 1 year lag, 9% of tot into reserve, implicit 1111 Mt upper threshold |

| Concerned text | Am No  | Tabled by  | Remarks   | Sandbag | Contents   |
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|                |        |  | <b>COMP 2,<br/>AM 130,<br/>116, 122,<br/>132, 123, or<br/>117/119/120/<br/>125 or 131<br/>adopted</b>   |         |  |
|                | AM 129 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                                | <i>Part "2022"<br/>corresponds to AM 91</i><br><b>Falls if<br/>COMP 2,<br/>AM 130,<br/>116, 122,<br/>132, 123,<br/>117/119/120/<br/>125, 131 or<br/>121 adopted</b> | -       | 2022 start, 10%, 1 year, implicit upper threshold of 1,000 Mt, receiving 10c has priority over MSR adjustment                  |
|                | AM 127 | Françoise Grossetête, Elisabetta Gardini, Michel Dantin, Angélique Delahaye, Alberto Cirio | <b>Falls if<br/>COMP 2,<br/>AM 130,<br/>116, 122,<br/>132, 123,<br/>117/119/120/<br/>125, 131,<br/>121, or 129<br/>adopted</b>                                      | -       | 2021 start, 1300 Mt upper threshold, 800 Mt lower thresholds, 33% adjustment of distance to the further away threshold, 1 year |
|                | AM 126 | Sirpa Pietikäinen  | <b>Falls if<br/>COMP 2,<br/>AM 130,<br/>116, 122,<br/>132, 123,<br/>117/119/120/<br/>125, 131,<br/>121, 129, or<br/>127 adopted</b>                                 | +       | 2021 start, 30% adjustment, 1 year response, implicit 333 Mt upper threshold   |
|                | AM 128 | Alberto Cirio  | <b>Falls if AM<br/>130, 116,<br/>122, 132,<br/>123,<br/>117/119/120/<br/>125, 131,<br/>121, 129, 127<br/>or 126<br/>adopted</b>                                     | -       | 2021 <u>June</u> , 12%, 1 year lag, implicit 833 Mt upper threshold  |
|                | AM 7   | Rapporteur   |   | -       | 2021, 12%, 1 year lag, implicit 833 Mt   |

| Concerned text                  | Am No  | Tabled by  | Remarks   | Sandbag | Contents  |
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|                                 | AM 115 | Valentinas Mazuronis   | Identical<br><br><b>Falls if COMP 2, AM 130, 116, 122, 132, 123, 117/119/120/ 125, 131, 121, 129, 127, 126 or 128 adopted</b> |         | upper threshold   |
|                                 | AM 124 | Norbert Lins, Jens Gieseke, Birgit Collin-Langen   |   |         |   |
|                                 |        |  |   |         |   |
| Article 1 – paragraph 3 a (new) | AM 134 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                                |   | -       | COMM to propose which MS's auctionable EUAs are placed into MSR (according to ratio of overallocation during phase 2)       |
| <b>Thresholds: " lower"</b>     |        |  |   |         |   |
| Article 1 – paragraph 4         | AM 141 | Françoise Grossetête, Elisabetta Gardini, Michel Dantin, Angélique Delahaye, Alberto Cirio | <b>Falls if COMP 2 adopted</b>  | -       | 800m lower threshold, upper threshold of 1300m, upward adjustment 33% of the difference to upper threshold, 1 year response |
|                                 | AM 138 | Jadwiga Wiśniewska   | <b>Falls if COMP 2, AM 141 adopted</b>  | -       | 700m lower threshold, 100 Mt upward adjustment  |
|                                 | AM 135 | Bas Eickhout   | Identical<br><br><b>Falls if COMP 2 AM 141 or 138 adopted</b>   | ++      | MSR starts to release EUAs if <300 Mt or price spike (Art 29a of Directive)   |
|                                 | AM 142 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David                  |   |         |   |

| Concerned text          | Am No  | Tabled by   | Remarks  | Sandbag | Contents   |
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|                         |        | Borrelli  |  |         |  |
|                         | AM 139 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacjewska  | <b>Falls if COMP 2, AM 141, 138 or 135/142 adopted</b>           | -       | 600m lower threshold, revenues from auctioning EUAs given only to MS with <90% EU avg GDP                      |
|                         | AM 137 | Sirpa Pietikäinen   | <b>Falls if COMP 2, AM 141, 138, 135/142, or 139 adopted</b>     | ++      | Release 25 Mt if <200 Mt available, but if MSR contains less than <100 Mt, release everything                  |
|                         | AM 136 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Katerina Konecná  | <b>Falls if COMP 2, AM 141, 138, 135/142, 139 or 137 adopted</b> | -       | 400 Mt lower threshold, 1 year response, upward adjustment: 33% of difference between market supply and 833 Mt |
|                         | AM 140 | Seb Dance, Paul Brannen   | Identical  | ++      | 300m lower threshold, 100 Mt upward adjustment   |
|                         | AM 143 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Seb Dance, Nicola Caputo, Nessa Childers, Miriam Dalli, Giorgos Grammatikakis, Pavel Poc, Jo Leinen, Daciana Octavia Sârbu |  |         |  |
| <hr/>                   |        |   |  |         |  |
| Article 1 – paragraph 5 | AM 144 | Bas Eickhout  |  | +       | Price spikes do not trigger MSR action   |
|                         | AM 148 | Eleonora Evi,   |  |         |  |

| Concerned text                  | Am No  | Tabled by  | Remarks   | Sandbag | Contents  |
|---------------------------------|--------|--|---|---------|---|
|                                 |        | Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli                       | Identical<br><b>DELETION</b><br><i>AM 144 linked to AM 135 (p.11)</i> |         |   |
|                                 | AM 145 | Françoise Grossetête, Elisabetta Gardini, Michel Dantin, Angélique Delahaye, Alberto Cirio |   |         | W   |
|                                 | AM 146 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                                | <b>Falls if AM 144/145 adopted</b>                                    | -       | Transfer to MSR suspended if carbon price above Euro 20 for one quarter |
|                                 | AM 147 | Seb Dance, Paul Brannen  | <b>Falls if AM 144/145 or 146 adopted</b>                             | W       | W   |
| Article 1 – paragraph 5 a (new) | AM 152 | Françoise Grossetête, Elisabetta Gardini, Michel Dantin, Angélique Delahaye, Alberto Cirio | Link to AM 145 - p.12   | W       | W   |
| <b>Innovation Fund</b>          |        |  |   |         |   |
| Article 1 – paragraph 5 a (new) | COMP 6 | EPP; S&D; ECR; ALDE  | If adopted, the following AMs fall: 8,                                | +       | 300 Mt Innovation Fund (gradual release until 2025)                     |

| Concerned text                  | Am No         | Tabled by  | Remarks   | Sandbag | Contents  |
|---------------------------------|---------------|--|---|---------|---|
|                                 |               |  | <b>149, 155,<br/>182, 84</b>  |         |   |
| Article 1 – title               | AM 84         | Iratxe García Pérez                                | <b>Falls if<br/>COMP 6 adopted</b>  |         | Rename decision to refer also a “Market Stability Reserve and Innovation Fund”  |
| Article 1 – paragraph 6 a (new) | AM 155        | Iratxe García Pérez                                | <b>Falls if<br/>COMP 6 adopted</b>  | -       | At least 400m allowances in an innovation fund                                  |
| Article 1 – paragraph 5 a (new) | AM 149        | Christofer Fjellner                                | <b>Falls if<br/>COMP 6 or<br/>AM 155 adopted</b>  | -       | 40m into breakthrough technologies fund each year MSR exceeds 400 Mt.           |
|                                 | AM 8          | Rapporteur   | <b>Falls if<br/>COMP 6 or<br/>AMs 155 or<br/>149 adopted</b>  |         | 30m into breakthrough technologies fund each year MSR exceeds 400 Mt.           |
|                                 |               |  |   |         |   |
| Article 2 a (new)               | <b>COMP 7</b> | EPP; S&D;<br>ECR;<br>ALDE;<br>EFDD                 | <b>If adopted, the following AMs fall:</b><br><b>151, 154, 186, 187, 10, 180, 190-199, 218; and 4, 17, 35, 43, 44, 50, 51, 52, 53, 54, 57, 58, 60, 61, 62, 63, 64, 65, 66, 67, 68, 74, 76, 77, 81, 82</b> | +       | Within 6 months COMM to propose revision of Directive, as per Council (Oct '14) |
| Article 1 – paragraph 5 a (new) | AM 151        | Jens Gieseke, Markus Pieper                        | <b>Falls if<br/>COMP 7 adopted</b>  | -       | Use MSR to execute ex post adjustments.   |
| Article 1 – paragraph 6         | AM 153        | Eleonora Evi, Marco Affronte, Piernicola Pedicini, |   | +       | No MSR action under price spikes  |

| Concerned text  | Am No            | Tabled by  | Remarks                                     | Sandbag | Contents   |
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|   |                  | Dario Tamburano, David Borrelli  |   |         |  |
| Article 1 – paragraph 6 a (new)   | AM 154           | Françoise Grossetête   | <b>Falls if COMP 7 adopted</b>              | -       | MSR used to grant EUAs above CSCF  |
| Article 2 – paragraph 1 – point -1 a (new)<br>Article 6 – paragraph 1 – subparagraph 3 a (new)  | AM 156           | Bas Eickhout   |   | ++      | An EPS introduced on new electricity generators above 50MW. Cannot exceed 400gCO2/KWhr |
| Article 2 – paragraph 1 – point – 1 b (new)<br>Article 6 – paragraph 1 – subparagraph 3 b (new) | AM 158           | Bas Eickhout   |   | ++      | An EPS introduced on new electricity generators above 50MW. Cannot exceed 400gCO2/KWhr |
| Article 2 – paragraph 1 – point -1 a (new)<br>Article 9 – paragraph 3 a (new)                   | AM 157<br>AM 159 | Sirpa Pietikäinen<br>Bas Eickhout  | Identical<br><i>Linear reduction factor</i> | ++      | New LRF of 2.6% from 2021  |
| <b>Auctioning calendar changes (corresponds to start date)</b>                                  |                  |  |   |         |  |
| Article 2 – paragraph 1 – point 1<br>Article 10 – paragraph 1                                   | AM 166           | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli | <i>Corresponds to AM 85/90</i>              | ++      | 2016   |
|   | AM 163           | Seb Dance, Paul Brannen  | <i>Corresponds to AM 85/90</i>              | ++      | 2016 July  |
|   | AM 161           | Merja  | Identical                                   | ++      | 2017 start   |

| Concerned text | Am No  | Tabled by   | Remarks   | Sandbag | Contents                                 |
|----------------|--------|---|---|---------|--|
|                |        | Kyllönen,<br>Josu Juaristi<br>Abaunz,<br>Stefan Eck,<br>Katerina<br>Konecná   | <i>Corresponds to AMs 86/87/88/92/94/95/96/97</i>   |         |  |
|                | AM 162 | Ian Duncan  |   |         |  |
|                | AM 168 | Massimo<br>Paolucci,<br>Renata<br>Briano,<br>Simona<br>Bonafè,<br>Caterina<br>Chinnici,<br>Enrico<br>Gasbarra,<br>Paolo De<br>Castro,<br>Nicola<br>Caputo                                     |   |         |  |
|                | AM 169 | Matthias<br>Groote,<br>Claudiu<br>Ciprian<br>Tanasescu,<br>Theresa<br>Griffin, Jytte<br>Guteland,<br>Pavel Poc,<br>Giorgos<br>Grammatikakis, Nessa<br>Childers, Jo<br>Leinen,<br>Miriam Dalli |   | ++      | 2017 start                               |
|                | AM 167 | Peter Liese,<br>Dubravka<br>Šuica, Karl-<br>Heinz<br>Florenz,<br>Bendt<br>Bendtsen,<br>Alojz Peterle  | <i>Part "2017" corresponds to AMs 86/87/88/92/94/95/96/97</i><br><br><i>Part "In 2019 and 2020....in the reserve" corresponds</i> | ++      | 2017 start, and place backload into MSR. |

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|                                 |                |   | <i>to AMs 101 or 6/98/100</i>  |         |   |
|                                 | AM 160         | Jadwiga Wiśniewska  | <i>Corresponds to AM 89</i>  | -       | 2023 start  |
|                                 | AM 164         | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska | <i>Corresponds to AM 91</i>  | -       | 2022 start  |
|                                 | AM 165         | Gerben-Jan Gerbrandy, Fredrick Federley, Catherine Bearder  | <b>Falls if COMP 1 or any of the above corresponding amendments adopted</b>  | +       | Delete reference to 2021 start  |
| <b>Unallocated allowances</b>   |                |   |  |         |   |
| Article 1 – paragraph 1 b (new) | <b>COMP 5A</b> | <b>GUE/NGL; Greens/EFA ; EFDD</b>                           | <b>If adopted, the following amendments fall: 103; 133, 150, 170, 171-175; 188 and AMs 40, 41, 42</b>  | ++      | CXL unallocated allowances at end of phase 3, except for 200Mt reserved for breakthrough industrial innovation. |
|                                 | <b>COMP 5B</b> | <b>EPP; S&amp;D; ECR; ALDE</b>                              | <b>If adopted, the following amendments fall: 103; 133, 150, 170, 171-175; 188 and AMs 40, 41, 42</b><br><br><b>Falls if COMP 5A adopted</b> | ++      | Place unallocated allowances into MSR at end of each period.  |

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| Article 2 – paragraph 1 – point 3<br>Article 10 – paragraph 1 a | AM 171 | Nessa Childers  | <b>Falls if COMP 5 adopted</b>  | ++      | Unused allowances cancelled in 2020.   |
|   | AM 170 | Bas Eickhout  | Identical   | ++      | Where total allowances issued in last two years of phase exceeds LRF, the excess is cancelled.                 |
|   | AM 173 | Sirpa Pietikäinen   | <b>Falls if COMP 5 or AM 171 adopted</b>                                  |         |  |
|   | AM 172 | Seb Dance, Paul Brannen   | Almost identical<br><br><b>Falls if COMP 5, AM 171 or 170/173 adopted</b> | ++      | If last 2 years volume exceeds expected auctions for first 2 years of new phase by 30%+ then cancel difference |
|   | AM 174 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Seb Dance, Pavel Poc, Jo Leinen, Giorgos Grammatikakis |   |         |  |
|   | AM 175 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli  |   |         |  |
| Article 1 – paragraph 1 b (new)                                 | AM 103 | Gerben-Jan Gerbrandy, Fredrick Federley, Catherine Bearder  | <b>Falls if COMP 5, AM 171, 170/173 or 172/174/175 adopted</b>            | ++      | Unallocated EUAs into reserve (spare NER, closures & partial cessation, 10c)                                   |
| Article 1 – paragraph 3 a (new)                                 | AM 133 | Bas Eickhout  | Covered by AM 130 (p.7)<br><br><b>Falls if</b>                            | ++      | If MSR>1 billion EUAs, EUAs entering MSR CXL:ed  |

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|   |        |  | <b>COMP 5 adopted</b>  |         |  |
| Article 1 – paragraph 5 a (new)   | AM 150 | Fredrick Federley  | <b>Falls if COMP 5 adopted</b>   | ++      | 20% MSR haircut if above 500m for two years  |
| <b>Auctioning revenues</b>  |        |  |  |         |  |
| Article 2 – paragraph 1 – point 3 b (new)<br>Article 10 – paragraph 3 –                 | AM 181 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli                                 |  |         | 50% of auction revenues must be used for climate change                                  |
|   | AM 180 | Elisabetta Gardini, Françoise Grossetête, Aldo Patriciello, Giovanni La Via  | <b>Falls if AM 181 adopted</b>   | -       | Auction revenues primarily used for compensation of indirect costs of electricity prices |
|   | AM 179 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Konecná  | <b>Falls if AM 180/181 adopted</b>   |         | 50% of auction revenues must be used for climate change                                  |
| Article 2 – paragraph 1 – point 3 d (new)<br>Article 10 – paragraph 3 – point - a (new) | AM 182 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro, Nicola Caputo | <b>Falls if COMP 6 adopted</b><br><br>Compatible with AMs 181/180/179 and AMs 155, 149 and 8 (p. 14) |         | Establish an Innovation fund   |
| Article 2 –   | AM 183 | Elisabetta   | <b>Falls if AM</b>   |         | All auction revenues   |

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| paragraph 1 – point 3 e (new)<br>Article 10 – paragraph 3 – subparagraph 2               |        | Gardini, Françoise Grossetête, Aldo Patriciello, Giovanni La Via            | <b>181 adopted</b><br>Compatible with AMs 180, 179 and 182 |         | must be used for climate purposes   |
|  |        |   |  |         |   |
| Article 2 – paragraph 1 – point 3 h (new)<br>Article 10 – paragraph 5                    | AM 186 | Alberto Cirio   | <b>Falls if COMP 7 adopted</b>                             | -       | Use MSR as a source of free EUAs for power and manufacturing.   |
| Article 2 – paragraph 1 – point 3 i (new)<br>Article 10 a – paragraph 6 – subparagraph 1 | AM 187 | Elisabetta Gardini, Françoise Grossetête, Aldo Patriciello, Giovanni La Via | <b>Falls if COMP 7 adopted</b>                             |         | Harmonise use of auction revenues in favour of addressing carbon leakage incl indirect costs (avoiding using State Aid)               |
| Article 2 – paragraph 1 – point 4<br>Article 13 – paragraph 2 – subparagraph 2           | AM 188 | Bas Eickhout  | <b>Falls if COMP 5 adopted</b>                             | +       | 70% haircut of MSR at end of Phase  |
| Article 2 – paragraph 1 – point 4 a (new)<br>Article 29 a – paragraph 1 a (new)          | AM 189 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                 |  | -       | Art 29a measures for excessive price fluctuations to be triggered at 20€  |
| <b>Carbon leakage</b>  |        |   |  |         |   |
| Article 2 a (new)<br><i>Article 3 paragraph</i>  | AM 197 | Elisabetta Gardini, Françoise Grossetête, Pilar Ayuso, Aldo Patriciello,    | <b>Falls if COMP 7 adopted</b>                             |         | Review within 6 months on carbon leakage, free alloc, production level changes, direct & indirect cost compensation, incentivise best |

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| <i>1a (new)</i>       |              | Giovanni La Via   |   |                | performers (avoiding using State Aid)   |
|                       | AM 198       | Mark Demesmaeker  | <b>Falls if COMP 7, or AM 197 adopted</b>                       |                | review within 6 months on carbon leakage, free alloc, production level changes, direct & indirect cost compensation, incentivise best performers      |
|                       | AM 218       | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro                 | <b>Falls if COMP 7, AM 197 or 198 adopted</b>                   |                | Review ETS within 18 months on carbon leakage, free alloc, production level changes, direct & indirect cost compensation, incentivise best performers |
|                       | AM 10        | Rapporteur  | Identical   |                | review within 6 months on carbon leakage, free alloc, production level changes, direct & indirect cost compensation, incentivise best performers      |
|                       | AM 194       | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska   |   |                |   |
|                       | AM 196       | Peter Liese, Dubravka Šuica, Karl-Heinz Florenz, Miroslav Mikolášik, Bendt Bendtsen, Alojz Peterle, György Hölvényi | <b>Falls if COMP 7, AM 197/198 or 218 adopted</b>               |                |   |
|                       | AM 193       | Norbert Lins, Jens Gieseke, Birgit Collin-Langen  | <b>Falls if COMP 7, AM 197, 198, 218, or 10/194/196 adopted</b> | -              | review within 6 months on carbon leakage, free alloc, production level changes, direct & indirect cost compensation,                                  |

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|                   |        |                     |  |         | incentivise best performers,<br><u>backloaded allowances</u>   |
|                   | AM 191 | Miroslav Mikolášik  | <b>Falls if COMP 7, AM 197, 198, 218, 10/194/196 or 193 adopted</b>                |         | review ETS within 6 months on carbon leakage ensure best performers suffer no direct/indirect additional costs as result of MSR                                  |
|                   | AM 190 | Paul Rübig          | <b>Falls if COMP 7, AM 197, 198, 218, 10/194/196, 96, 193 or 191 adopted</b>       |         | review ETS within 6 months on carbon leakage, free alloc, benchmarks, production level changes, direct & indirect cost compensation, incentivise best performers |
|                   | AM 195 | Jadwiga Wiśniewska  | <b>Falls if COMP 7, AM 197, 198, 218, 10/194/196, 193, 191 or 190 adopted</b>      | -       | review ETS within 9 months on carbon leakage, free alloc after 2020, removal of CSCF, indirect costs   |
| Article 2 a (new) | AM 192 | Christofer Fjellner | <b>Falls if COMP 7, AM 197, 198, 218, 10/194/196, 193, 191, 190 or 195 adopted</b> | +       | review by 2017 on dynamic allocation, avoiding oversupply from carbon leakage provisions, avoiding additional direct/indirect costs for best performers          |
| Article 2 b (new) | AM 199 | Christofer Fjellner | <b>Falls if COMP 7 adopted</b>   |         | Review State Aid rules by 2017, harmonise offsetting indirect costs  |
| <b>MSR Review</b> |        |                     |  |         |  |

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| Article 3              | COMP 8 | EPP; S&D; ECR; ALDE; GUE/NGL; Greens/EFA ; EFDD   | If adopted, the following AMs fall: 11, 200-214, 216, 217219 and AMs 5, 69, 70, 71, 73 | +       | Review MSR within 3 years, plus 2 years before end of periods. Look at competitiveness and carbon leakage.  |
| Article 3 – title      | AM 200 | Andrzej Grzyb, Elzbieta Katarzyna Lukacijewska  | Falls if COMP 8 adopted<br>Identical   |         | Review to focus on MSR  |
|                        | AM 201 | Norbert Lins, Jens Gieseke, Birgit Collin-Langen  |  |         |   |
| Article 3 – paragraph1 | AM 209 | Seb Dance, Paul Brannen   | Falls if COMP 8 adopted  | +       | review MSR by Dec 2020  |
|                        | AM 215 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli                  | Falls if AM 209 adopted  | +       | review MSR within 3 yrs incl impact on carbon leakage, whether ETS appropriate, import adjust for carbon leakage sectors, adjust for exports to ctires w/out carbon price |
|                        | AM 205 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro | Falls if COMP 8, AM 209 or 215 adopted   | +       | review MSR by Dec 2019  |
|                        | AM 202 | Merja Kyllönen, Josu Juaristi   | Falls if COMP 8, AM 215, 209   | +       | review MSR by Dec 2020  |

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|                |        | Abaunz,<br>Stefan Eck,<br>Katerina<br>Konecná  | <b>or 205<br/>adopted</b>  |         |  |
|                | AM 203 | Bas Eickhout   |  |         | review MSR by Dec 2021   |
|                | AM 216 | Peter Liese,<br>Sirpa<br>Pietikäinen,<br>Dubravka<br>Šuica, Karl-<br>Heinz<br>Florenz,<br>Bendt<br>Bendtsen,<br>Alojz Peterle  | Identical<br><br><b>Falls if<br/>COMP 8,<br/>AM 215,<br/>209, 205 or<br/>202 adopted</b>   | +       |  |
|                | AM 217 | Matthias<br>Groote,<br>Claudiu<br>Tanasescu,<br>Theresa<br>Griffin, Jytte<br>Guteland,<br>Pavel Poc,<br>Giorgos<br>Grammatikakis, Nessa<br>Childers, Jo<br>Leinen,<br>Miriam Dalli |  |         |  |
|                | AM 211 | Gerben-Jan<br>Gerbrandy,<br>Fredrick<br>Federley,<br>Catherine<br>Bearder  | <b>Falls if<br/>COMP 8<br/>adopted</b><br><br><b>Part "2022"<br/>falls if AMs<br/>215, 209,<br/>205, 202, or<br/>203/216/217<br/>adopted</b> | +       | review MSR by Dec 2022 and periodic 2yrs before end each phase |
|                | AM 206 | Ian Duncan   | <b>Falls if<br/>COMP 8<br/>adopted</b><br><br><b>Part "2022"<br/>falls if AMs</b>  | +       | review MSR by Dec 2022 incl impact on carbon leakage           |

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|                |       |           | <p><b>215, 209,<br/>205, 202,<br/>203/216/217<br/>or 211<br/>adopted</b></p> <p>Part "<i>In its review...carb on leakage</i>" compatible as addition to AM 209, 205, 202, 203/216/217 and 211.</p> |         |          |

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|                | AM 11 | Ivo Belet | <p><b>Falls if COMP 8 adopted</b></p> <p><b>Part "Within three years...market stability reserve"</b><br/>falls if AMs 215, 209, 205, 202, 211, 203/216/217, or 206 adopted</p> <p>Part "...include... carbon market report"<br/>compatible as addition to AMs 205, 202, 211, 203/216/217, or 206.</p> <p><b>Part "The review...to the Council"</b><br/>compatible as addition to AMs 215, 209, 205, 202, 211, 203/216/217, or 206</p> | +       | Review within 3 yrs<br><b><u>COMPLEX</u></b> |

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|                | AM 204 | Françoise Grossetête | <p><b>Falls if COMP 8 adopted</b></p> <p>Part<br/> <i>"Within three years...market stability reserve"</i><br/> falls if AMs 215, 209, 205, 202, 211, 203/216/217, 206, or 11 adopted</p> <p>Part<br/> <i>"..attention to...it shall also pay"</i><br/> compatible as addition to AMs 205, 202, 211, 203/216/217, 206, or 11.</p> |         | review MSR within 3 yrs with attention to key market drivers & other policies<br><b><u>COMPLEX</u></b> |

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|                | AM 208 | Jadwiga Wiśniewska | <p><b>Falls if COMP 8 adopted</b></p> <p><b>Part</b><br/> <i>"Within three years... becoming fully operational"</i><br/>           falls if AMs 215, 209, 205, 202, 211, 203/216/217, 206 or 204 adopted</p> <p>Part: "<i>With the aim of...households</i>"<br/>           compatible as addition to AMs 205, 202, 211, 203/216/217, 206, or 11.</p> |         | review MSR within 3 yrs<br><u>COMPLEX</u> |

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|                | AM 210 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska | <p><b>Falls if COMP 8 adopted</b></p> <p><b>Part</b><br/> <i>"Within three years... becoming fully operational"</i><br/>           falls if AMs 215, 209, 205, 202, 211, 203/216/217, 206, 204 or 208 adopted</p> <p>Part<br/> <i>"..include... structural-supply-demand imbalances".</i><br/>           compatible as addition to AMs 205, 202, 211, 203/216/217, 206 or 208.</p> |         | review MSR within 3 yrs with attention to key market drivers & other policies<br><b><u>COMPLEX</u></b> |

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|                | AM 212 | Norbert Lins,<br>Jens Gieseke,<br>Birgit Collin-Langen | <p><b>Falls if COMP 8 adopted</b></p> <p><b>Part</b><br/> <i>"Within three years...market stability reserve"</i><br/>           falls if AMs 215, 209, 205, 202, 211, 203/216/217, 206, 11, 208 or 210 adopted</p> <p>Part "extent to which...structural supply-demand imbalances" compatible as addition to AMs 205, 202, 211, 203/216/217, 206 or 208.</p> |         | review MSR within 3 yrs<br><u>COMPLEX</u>  |
|                | AM 207 | Christofer Fjellner                                    | <p><b>Falls if COMP 8 adopted</b></p> <p>Compatible as addition to AMs 205, 202, 211, 203/216/217, 206, 11, 208, 210 or 212.</p>   | -       | review MSR by Dec 2026 incl impact on carbon leakage, industrial competitiveness<br><u>COMPLEX</u> |

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| Article 3 – paragraph 1 | AM 213 | Jan Huitema   | <p><b>Falls if COMP 8 adopted</b></p> <p><b>Part</b><br/> <i>"Within five years...new trading phase"</i> falls if AMs 215, 209, 205, 202, 211, 203/216/217, 206, 11, 208 210 or 207 adopted</p> <p>Part "As well as...European competitiveness" compatible as addition to AMs 205, 202, 211, 203/216/217, 206, 208, 2010, 212, or 207.</p> | +       | review MSR within 5 yrs or before start each phase particularly the % into reserve<br><b><u>COMPLEX</u></b>  |
|                         | AM 214 | Alberto Cirio | <p><b>Falls if COMP 8 adopted</b></p> <p>Compatible as addition to AMs 205, 202, 211, 203/216/217, 206, 11, 208, 210, 212 or 207.</p>  | -       | review MSR by Dec 2026 incl impact on carbon leakage, industrial competitiveness & encouragement of cost-effective measures<br><b><u>COMPLEX</u></b> |

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| Article 3 a (new)               | AM 220 | Seb Dance, Theresa Griffin, Paul Brannen   | <b>Falls if AMs 156 or 158 (pp 13-14) adopted</b>           | ++      | COMM to propose establishing an EPS by 2015. |
| Article 3 – paragraph 1 a (new) | AM 219 | Sirpa Pietikäinen  | <b>Falls if AMs 156 or 158 (pp 13-14) or AM 220 adopted</b> | ++      | COMM to propose establishing an EPS by 2015. |
| Article 4 – paragraph 1         | AM 224 | Seb Dance, Paul Brannen  |   | +       | Start July 2016..                            |
|                                 | AM 221 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Katerina Konecná   | Identical<br><b>Falls if AM 224 adopted</b>                 | +       | Start 2017.                                  |
|                                 | AM 222 | Ian Duncan   |   |         |  |
|                                 | AM 225 | Peter Liese, Sirpa Pietikäinen, Dubravka Šuica, Karl-Heinz Florenz, Bendt Bendtsen, Alojz Peterle                  |   |         |  |
|                                 | AM 226 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro, Nicola Caputo |   |         |  |

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|                         | AM 227 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Pavel Poc, Giorgos Grammatikakis, Nessa Childers, Jo Leinen, Miriam Dalli |   |         |   |
|                         | AM 223 | Jadwiga Wiśniewska   | <b>Falls if AM 224 or 221/222/225/226/227 adopted</b> | -       | Start 2023.   |
| Article 5 – paragraph 1 | AM 228 | Françoise Grossetête   |   | -       | MSR decision to take effect at the same time as 2030 package. |

| Citations/Recitals | Am No | Tabled by   | Remarks                                | Sandbag | Contents  |
|--------------------|-------|---|--|---------|---|
| Recital -1 (new)   | AM 1  | Rapporteur  |  | +       | ETS the EU's main instrument on climate.                          |
| Citation 4 a (new) | AM 17 | Andrzej Grzyb, Elzbieta Katarzyna Lukacijewska                                | <b>Falls if COMP 7 or AM 1 adopted</b> |         | October Council must be obeyed.                                   |
| Recital 1 a (new)  | AM 18 | Peter Liese, Sirpa Pietikäinen, Dubravka Šuica, Bendt Bendtsen, Alojz Peterle |  | +       | Supports action during phase 3, including withholding allowances. |
| <b>Recital 2</b>   |       |   |  |         |   |

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| Recital 2          | COMP 3 | EPP; S&D; ECR; ALDE; GUE/NGL; Greens/EFA  | If adopted, the following amendments fall: 2, 19-34  | +       | 1 year lag   |
| Recital 2          | AM 26  | Seb Dance, Paul Brannen   | AMs 90 and 122 corresponding parts<br><br><b>Falls if COMP 3 adopted</b>                                   | ++      | 2016, 20%, 1 year lag, 300 Mt lower threshold.   |
|                    | AM 31  | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli  | AMs 85/90 and 130 corresponding parts<br><br><b>Falls if COMP 3 or AM 26 adopted</b>                       | ++      | 2016, 25%, 1 year lag, 300 Mt lower threshold with 50 Mt upward adjustment.                                |
|                    | AM 34  | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Pavel Poc, Giorgos Grammatikakis, Nessa Childers, Jo Leinen, Nicola Caputo, Miriam Dalli | AMs 97 and 132 corresponding parts<br><br><b>Falls if COMP 3 or AM 26 adopted</b><br>Compatible with AM 31 | ++      | 2017, 20%, 1 year lag, 300 Mt. References that without MSR market surplus still at present levels in 2025. |
|                    | AM 20  | Bas Eickhout  | <b>Falls if COMP 3 or AM 26 or 34 adopted</b><br>Compatible with AM 31                                     | +       | Corrects a typo  |

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|----------------------|-------|--|---|---------|--|
|                      | AM 21 | Merja Kyllönen,<br>Josu Juaristi<br>Abaunz,<br>Stefan Eck,<br>Katerina Konecná | <i>AMs 87 and 118 corresponding parts</i><br><br><b>Falls if COMP 3 or AM 26, 34 or 20 adopted</b><br><br>Compatible with AM 31     | ++      | 2017, 833 upper threshold, 33% of the distance to the nearest threshold. |
|                      | AM 27 | Gerben-Jan Gerbrandy,<br>Fredrick Federley,<br>Catherine Bearder               | <i>AMs 92 and 123 corresponding parts</i><br><br><b>Falls if COMP 3 or AM 26, 34, 20 or 21 adopted</b><br><br>Compatible with AM 31 | ++      | 2017, 20%, 1 year lag, 400 Mt lower threshold.                           |

| Citations/References | Am No | Tabled by   | Remarks  | Sandbag | Contents  |
|----------------------|-------|---|--|---------|---|
|                      | AM 33 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro | <p><i>AM 96 and 119 corresponding parts</i></p> <p><b>Falls if COMP 3 or AM 26, 34 or 27 adopted</b></p> <p>Compatible as addition to AM 31,20</p> <p>Compatible with AM 21</p>          | ++      | 2017, 12%, 1 year lag, 400 Mt.<br>References need to provide correct investment signal. |
|                      |       |   | <p><i>Almost identical</i></p> <p><i>AMs 86/88 and 117/120 corresponding parts</i></p> <p><b>Falls if COMP 3 or AMs 26, 34, 20, 21 27 or 33 adopted</b></p> <p>Compatible with AM 31</p> |         |   |
|                      |       |   | <p><i>AM 94 corresponding part</i></p> <p><b>Falls if COMP 3 or AM 26 adopted</b></p> <p>Compatible with AM 31, 34, 20, 21, 27, 33, 22/23</p>  |         |   |
|                      | 38/50 |   | <p><i>AMs 89 and 121 corresponding parts</i></p> <p><b>Falls if COMP 3 or AMs 26, 31, 32 adopted</b></p>   |         |   |

| Citations/References | Am No | Tabled by   | Remarks  | Sandbag | Contents  |
|----------------------|-------|---|--|---------|---|
|                      | AM 22 | Ian Duncan  | <i>Almost identical</i><br><br>AMs 86/88 and 117/120 corresponding parts<br><br><b>Falls if COMP 3 or AMs 26, 34, 20, 21 27 or 33 adopted</b><br><br>Compatible with AM 31 | ++      | 2017, 1 year lag.   |
|                      | AM 23 | Jan Huitema   |  |         |   |
|                      | AM 32 | Peter Liese, Sirpa Pietikäinen, Dubravka Šuica, Karl-Heinz Florenz, Bendt Bendtsen, Alojz Peterle | <i>AM 94 corresponding part</i><br><br><b>Falls if COMP 3 or AM 26 adopted</b><br><br>Compatible with AM 31, 34, 20, 21, 27, 33, 22/23                                     | ++      | 2017, 12%, 2 year lag, 400 Mt lower threshold.<br>References need to provide correct investment signal. |
|                      | AM 24 | Jadwiga Wiśniewska  | <i>AMs 89 and 121 corresponding parts</i><br><br><b>Falls if COMP 3 or AMs 26, 31, 34, 20, 21, 27, 33, 22/23 or 32 adopted</b>   | -       | 2023, 1 year lag, 9%, 700 Mt lower threshold.   |

| Citations/Recitals           | Am No | Tabled by   | Remarks   | Sandbag | Contents   |
|------------------------------|-------|---|---|---------|--|
|                              | AM 25 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska | <i>Corresponds to AMs 91 and 129</i>  | -       | 2022, 1 year lag, 10%, 600 Mt lower threshold.       |
|                              | AM 29 | Sirpa Pietikäinen   | <i>Corresponds to AM 137</i>  | +       | 2021, 30%, 1 year lag, 200 Mt lower threshold.       |
|                              | AM 2  | Rapporteur  | <i>AM 7 corresponding part</i><br><br><b>Falls if COMP 3 or AM 26 adopted</b><br><br>Compatible with AMs 31, 34, 20, 21, 27, 33, 22/23 and 34 | -       | 2021, 12%, 1 year lag.                               |
|                              | AM 30 | Alberto Cirio   | <i>Corresponds to AM 127</i>  | -       | 2021, 12%, 1 year lag, 800 Mt lower threshold (!?!?) |
|                              | AM 28 | Norbert Lins, Jens Gieseke, Birgit Collin-Langen            | <i>Corresponds to AM 124</i>  | -       | 2021, 12%, 1 year lag, 400 Mt lower threshold.       |
|                              | AM 19 | Valentinas Mazuronis  | <i>Corresponds to AM 115</i>  |         | 1-year lag.  |
| <b>Backloaded allowances</b> |       |   |   |         |  |
| Recital 3 a (new)            | AM 47 | Seb Dance, Paul Brannen                                     | Identical   | ++      | Backload cancelled.                                  |

| Citations/Recitals   | Am No | Tabled by  | Remarks   | Sandbag | Contents           |
|----------------------|-------|--|---|---------|--------------------|
| Recital 3 a<br>(new) | AM 55 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Jytte Guteland, Seb Dance, Guillaume Balas, Nicola Caputo, Nessa Childers, Pavel Poc, Jo Leinen | <b>Falls if COMP 4 adopted or if AMs 176/178 (p.3) rejected</b>   | ++      | Backload cancelled |
| Recital 3 a<br>(new) | AM 48 | Annie Schreijer-Pierik   | <b>Falls if COMP 4 adopted or AMs 101/6/98/100 /184/185/9 (p.4) rejected</b><br><br><b>Falls if AMs 47/55 adopted</b>     | ++      | Backload into MSR. |
| Recital 2 a<br>(new) | AM 38 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro  | <b>Falls if COMP 4 adopted or AMs 101/98/100 /184/185/9 (p.4) rejected</b><br><br><b>Falls if AMs 47/55 or 48 adopted</b> | ++      | Backload into MSR. |

| Citations/Recitals | Am No | Tabled by  | Remarks  | Sandbag | Contents  |
|--------------------|-------|--|--|---------|---|
|                    | AM 36 | Gerben-Jan Gerbrandy, Fredrick Federley, Catherine Bearder         | <p><b>Falls if COMP 4 adopted or AMs 101/98/100 /184/185/9 (p.4) rejected</b></p> <p><b>Falls if AMs 47/55 adopted</b></p> <p>The words:<br/><i>"in order to...allowances."</i><br/>compatible as addition to AMs 48 or 38</p>               | ++      | Backload into MSR to provide stability and send a signal. |
| Recital 3          | AM 39 | Merja Kyllönen, Josu Juaristi Abaunz, Stefan Eck, Katerina Konecná | <p><b>Falls if COMP 4 adopted or AMs 101/98/100 /184/185/9 (p.4) rejected</b></p> <p><b>Falls if AMs 47/55 adopted</b></p> <p><i>Reference to "avoiding imbalanced market situation"</i><br/>compatible as addition to AMs 48, 38 and 36</p> | ++      | Backload into MSR.  |

| Citations/Recitals   | Am No | Tabled by  | Remarks   | Sandbag | Contents  |
|----------------------|-------|--|---|---------|---|
| Recital 3 a<br>(new) | AM 3  | Rapporteur                                       | <p><b>Falls if COMP 4 adopted AM 6 (p.4) rejected</b></p> <p><b>Falls if AMs 47/55, 48, 38 36 or 39 adopted</b></p>   | +       | Backload into MSR when it becomes operational.        |
| Recital 3 a<br>(new) | AM 56 | Mark Demesmaeker                                 | <p><b>Falls if COMP 4 adopted or AM 102 (p.4) rejected</b></p> <p><b>Falls if AMs 47/55, 38, 36 or 39 adopted</b></p>   | -       | Backload in MSR conditional on use for carbon leakage |
| Recital 2 a<br>(new) | AM 49 | Norbert Lins, Jens Gieseke, Birgit Collin-Langen | <p><b>Falls if COMP 4 adopted or AM 176/178, 177, 101/98/100 /184/185/9, 6, or 102 (p.4) adopted</b></p> <p><b>Falls if AMs 47/55, 48, 38 36, 39 or 3 adopted</b></p> | -       | Backload withheld, with fate decided later.           |

| Citations/Recitals    | Am No | Tabled by   | Remarks  | Sandbag | Contents   |
|-----------------------|-------|---|--|---------|--|
| Recital 4 a<br>(new)  | AM 75 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska   | <b>Falls if COMP 4 adopted or AM 176/178, 177, 101/98/100 /184/185/9, 6, or 102 (p.4) adopted</b><br><b>Falls if AMs 47/55, 48, 38 36, 39, 3 or 49 adopted</b> | -       | Backload not into the MSR  |
| <b>Carbon leakage</b> |       |   |  |         |  |
| Recital 3 b<br>(new)  | AM 60 | Seb Dance, Theresa Griffin, Paul Brannen  | <b>Falls if COMP 7, AMs 10, 190-198 or 218 (pp. 19-20 adopted)</b>   | +       | Carbon leakage not to be addressed in the MSR context, and only with reference to post-2020.                                       |
| Recital 3 b<br>(new)  | AM 65 | Mark Demesmaeker  | <b>Falls if COMP 7, AM 60 adopted</b>  |         | Protect competition-exposed most efficient post-2020, and ex post allocation introduced.   |
| Recital 3 a<br>(new)  | AM 53 | Peter Liese, Dubravka Šuica, Karl-Heinz Florenz, Miroslav Mikolášik, Bendt Bendtsen, György Hőlvényi, Alojz Peterle | Identical<br><b>Falls if COMP 7, AM 60 or 65 adopted</b>   |         | Better carbon leakage after 2020 for most efficient installations, taking into account indirect costs. Provide ex post allocation. |

| Citations/Recitals   | Am No | Tabled by  | Remarks  | Sandbag | Contents  |
|----------------------|-------|--|--|---------|---|
| Recital 3 c<br>(new) | AM 68 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska  |  |         |   |
| Recital 3 b<br>(new) | AM 4  | Rapporteur   | <b>Falls if COMP 7, AM 60, 65 or 53/68 adopted</b>   |         | ETS review to offer carbon leakage protection for most-efficients, ex post allocation.            |
| Recital 3 a<br>(new) | AM 44 | Ian Duncan   | <b>Falls if COMP 7, AM 60 adopted</b><br><br>Compatible as addition to AMs 53/68 or 4          |         | More transparent CSCF calculations. Measures to stop harming industrial competitiveness.          |
| Recital 3 b<br>(new) | AM 64 | Matthias Groote, Claudiu Ciprian Tanasescu, Theresa Griffin, Seb Dance, Nessa Childers, Miriam Dalli, Jo Leinen, Giorgos Grammatikakis | <b>Falls if COMP 7, AM 60 adopted</b><br><br>Compatible as addition to AMs 65, 53/68, 4 and 44 | +       | Carbon leakage measures after 2020 should reflect carbon price at the time of their introduction. |
| Recital 4 a<br>(new) | AM 76 | Jan Huitema  | <b>Falls if COMP 7, AM 60, 65, 53/68, 4, 44 or 64 adopted</b>                                  |         | Most efficient installations to get 100% free allowances, adjusted ex post.                       |

| Citations/Recitals   | Am No | Tabled by                   | Remarks  | Sandbag | Contents   |
|----------------------|-------|-----------------------------|--|---------|--|
| Recital 2 a<br>(new) | AM 35 | Christofer Fjellner         | <b>Falls if<br/>COMP 7,<br/>AM 60, 65,<br/>53/68, 4, 64<br/>or 76<br/>adopted</b><br><br>Compatible with AM 44               |         | During 2016: new rules on carbon leakage, dynamic allocation. Best performers to receive full free allocation, indirect costs. |
| Recital 3 a<br>(new) | AM 43 | Françoise Grossetête        | <b>Falls if<br/>COMP 7<br/>adopted</b>   |         | MSR not to conflict with carbon leakage protections.   |
| Recital 4 a<br>(new) | AM 77 | György Hölvényi             |  |         |  |
| Recital 5 a<br>(new) | AM 82 | Alberto Cirio               | Identical<br><br>Compatible with AMs 60, 65, 53/68, 4, 64, 76, 35 and 44   |         |  |
| Recital 3 a<br>(new) | AM 50 | Jens Gieseke, Markus Pieper | <b>Falls if<br/>COMP 7 or<br/>AM 60<br/>adopted</b><br><br>Compatible with AMs 65, 53/68, 4, 44, 64, 76, 35, and 43/77/82    |         | Review sectors at risk of carbon leakage. Include sectors where indirect costs cause 30% rise in total costs.                  |
| Recital 3 a<br>(new) | AM 52 | Miroslav Mikolášik          | <b>Falls if<br/>COMP 7 or<br/>AM 60<br/>adopted</b><br><br>Compatible with AMs 65, 53/68, 4, 44, 64, 76, 35, 43/77/82 and 50 |         | 100% carbon leakage protection for sectors at risk, also cover indirect costs for best performers.                             |

| Citations/References | Am No | Tabled by  | Remarks  | Sandbag | Contents   |
|----------------------|-------|--|--|---------|--|
| Recital 3 a<br>(new) | AM 57 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro, Nicola Caputo | <b>Falls if COMP 7 or AM 60 adopted</b><br><br>Compatible with AMs 65, 53/68, 4, 44, 64, 76, 35, 43/77/82, 50 and 52           |         | Harmonised EU-wide rules for indirect costs compensation starting 2021.                                |
| Recital 3 a<br>(new) | AM 54 | Elisabetta Gardini, Françoise Grossêtéte, Aldo Patriciello, Giovanni La Via  | <b>Falls if COMP 7 or AM 60 or 57 adopted</b><br><br>Compatible with AMs 65, 53/68, 4, 44, 64, 76, 35, 43/77/82, 50, 52 and 57 | -       | Use 100% of auctioning revenues to compensate for indirect costs and further reduce emissions.         |
| Recital 3 b<br>(new) | AM 58 | Jens Gieseke, Markus Pieper  | <b>Falls if COMP 7 or AM 60 adopted</b><br><br>Compatible with AMs 65, 53/68, 4, 44, 64, 76, 35, 43/77/82, 50 , 52, 57 and 54  |         | References Council decision to deal with carbon leakage and energy costs for energy intensive sectors. |

| Citations/Recitals   | Am No | Tabled by   | Remarks   | Sandbag | Contents   |
|----------------------|-------|---|---|---------|--|
| Recital 3 b<br>(new) | AM 62 | Annie Schreijer-Pierik  | <b>Falls if<br/>COMP 7 or<br/>AM 60, 65,<br/>53/68, 4, 44<br/>or 64<br/>adopted</b><br><br>Compatible with AM 35, 43/77/82, 50, 52, 57, 54 and 58           |         | At some point deal with: Ex post allocation, CSCF affecting most efficient installations |
| Recital 3 b<br>(new) | AM 63 | Elisabetta Gardini, Françoise Grossetête, Aldo Patriciello, Giovanni La Via | <b>Falls if<br/>COMP 7 or<br/>AM 60, 65,<br/>53/68, 4, 44<br/>64 or 76<br/>adopted</b><br><br>Compatible with AM 35, 43/77/82, 50, 52, 57, 54 and 58 and 62 |         | Indirect costs to be addressed in a harmonised way, e.g. through auction revenues.       |
| Recital 4 a<br>(new) | AM 74 | Annie Schreijer-Pierik  | <b>Falls if<br/>COMP 7<br/>adopted</b><br><br>Compatible with AMs 60, 65, 53/68, 4, 44 64, 76, 35, 43/77/82, 50, 52, 57, 54 58, 62 and 63                   |         | Carbon leakage list agreed for 2015-19   |

| Citations/Recitals | Am No | Tabled by  | Remarks   | Sandbag | Contents   |
|--------------------|-------|--|---|---------|--|
| Recital 5 a (new)  | AM 81 | Bas Eickhout   | <b>Falls if COMP 7 adopted</b><br><br>Compatible with AMs 60, 65, 53/68, 4, 44 64, 76, 35, 43/77/82, 50, 52, 57, 54 58, 62, 63 and 74 |         | Directive review: NER300 to deal with carbon leakage.                                  |
|                    |       |  |   |         |  |
| Recital 2 a (new)  | AM 37 | Jadwiga Wisniewska   |   | -       | MSR diminishes the competitiveness of EU industry.                                     |
| Recital 3          | AM 40 | Seb Dance, Theresa Griffin, Paul Brannen   | Almost identical  | ++      | Cancel any large increase in the supply at the end of any phase.                       |
| Recital 3          | AM 41 | Sirpa Pietikäinen  | <i>Corresponds to AM 172 (p.17)</i>   |         |  |
| Recital 3          | AM 42 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli | <b>Falls if COMP 5 or AM 40/41 adopted</b>  | ++      | Cancel at least a part of any large increase in the supply at the end of any phase.    |
| Recital 3 a (new)  | AM 45 | Sirpa Pietikäinen  |   | +       | COMM to propose Emissions Performance Standard during 2015 (entry into force in 2018). |
| Recital 3 a (new)  | AM 46 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                        |   | -       | If carbon price more than EUR 20, no EUAs into MSR.                                    |

| Citations/Recitals   | Am No | Tabled by  | Remarks   | Sandbag | Contents  |
|----------------------|-------|--|---|---------|---|
| Recital 3 a<br>(new) | AM 51 | Alberto Cirio  | <b>Falls if<br/>COMP 7<br/>adopted</b>              | -       | Remove CSCF.  |
| Recital 3 b<br>(new) | AM 59 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska  |   | -       | MSR reform not to affect Oct '14 Council give-aways to low income MSs.                          |
| Recital 3 b<br>(new) | AM 61 | Norbert Lins, Jens Gieseke, Birgit Collin-Langen   | <b>Falls if<br/>COMP 7<br/>adopted</b>              |         | Ensure free allocation and carbon leakage post-2020.  |
| Recital 3 b<br>(new) | AM 66 | Massimo Paolucci, Renata Briano, Simona Bonafè, Caterina Chinnici, Enrico Gasbarra, Paolo De Castro, Nicola Caputo | <b>Falls if<br/>COMP 7<br/>adopted</b>              |         | Indirect costs should be addressed in a harmonised way, different from current state aid rules. |
| Recital 3 c<br>(new) | AM 67 | Jens Gieseke, Markus Pieper  | <b>Falls if<br/>COMP 7<br/>adopted</b>              | -       | COMM to check if EUAs in MSR sufficient for carbon leakage protection.                          |
| Recital 4            | AM 72 | Eleonora Evi, Marco Affronte, Piernicola Pedicini, Dario Tamburano, David Borrelli                                 |   |         | MSR review to see if ETS appropriate instrument. BTAs possible?                                 |
|                      | AM 5  | Rapporteur   | <b>Falls if<br/>COMP 8 or<br/>AM 72<br/>adopted</b> |         | Annual carbon market review to analyse demand drivers, other environmental policies.            |

| Citations/Recitals | Am No | Tabled by   | Remarks   | Sandbag | Contents  |
|--------------------|-------|---|---|---------|---|
|                    | AM 69 | Sirpa Pietikäinen   | <b>Falls if COMP 8 or AM 72 adopted</b><br><br>Compatible with AM 5                 | +       | If EUA price insufficient for low-carbon investment, consider emissions performance standard.                         |
|                    | AM 70 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                                       | <b>Falls if COMP 8 or AM 72 adopted</b><br><br>Compatible as addition to AM 5 or 69 | -       | MSR review not to focus on supply and demand, but economic situation, carbon costs, and state of UNFCCC negotiations. |
|                    | AM 71 | Jadwiga Wisniewska  | <b>Falls if COMP 8 adopted</b><br><br>Compatible as addition to AMs 5, 69 or 70     | -       | Review of MSR should also take into account household energy prices.  |
|                    | AM 73 | Peter Liese, Sirpa Pietikäinen, Dubravka Šuica, Karl-Heinz Florenz, Bendt Bendtsen, Alojz Peterle | <b>Falls if COMP 8 or AM 72 adopted</b><br><br>Compatible with AMs 5, 69, 70 and 71 | +       | Review in 2021.   |
| Recital 4 b (new)  | AM 78 | György Hölvényi   | Identical   |         | ETS measures to be non-contradictory.   |
| Recital 5 b (new)  | AM 83 | Alberto Cirio   |   |         |   |
| Recital 5          | AM 79 | Andrzej Grzyb, Jerzy Buzek, Elzbieta Katarzyna Lukacijewska                                       |   | -       | MSR reform not to affect Oct '14 Council give-aways to low income states  |

| Citations/Recitals | Am No | Tabled by  | Remarks               | Sandbag | Contents   |
|--------------------|-------|--|-----------------------|---------|--|
| Recital 5          | AM 80 | Elisabetta Gardini,<br>Françoise Grossetête,<br>Aldo Patriciello,<br>Giovanni La Via | Compatible with AM 79 |         | Removes reference to specific Directive articles to be reviewed. |

| VOTE ON THE DRAFT REPORT AS AMENDED | IN FAVOUR | AGAINST | ABST. |
|-------------------------------------|-----------|---------|-------|
|                                     | +         |         |       |

| DRAFT LEGISLATIVE RESOLUTION |       |  |                     |
|------------------------------|-------|--|---------------------|
| Citation 4 a (new)           | AM 13 | João Ferreira,<br>Luke Ming Flanagan,<br>Teresa Rodriguez-Rubio, Pablo Echenique | <b>Inadmissible</b> |
| Citation 5 a (new)           | AM 14 | João Ferreira,<br>Luke Ming Flanagan,<br>Teresa Rodriguez-Rubio, Pablo Echenique | <b>Inadmissible</b> |
| Citation 6                   | AM 15 | João Ferreira,<br>Luke Ming Flanagan,<br>Teresa Rodriguez-Rubio, Pablo Echenique | <b>Inadmissible</b> |

| <b>FINAL VOTE ON THE DRAFT<br/>LEGISLATIVE RESOLUTION AS A<br/>WHOLE</b> | <b>IN FAVOUR</b>                      | <b>AGAINST</b> | <b>ABST.</b> |
|--|---------------------------------------|----------------|--------------|
|  | If compromise<br>package adopted<br>+ |                |              |

|  |   |  |  |
|--|---|--|--|
| <b>Decision on the opening of interinstitutional negotiations - (Rule 73)</b><br><br><ul style="list-style-type: none"> <li>➤ to open negotiations</li> <li>➤ on the basis of the draft report as amended</li> <li>➤ with the negotiating team presided over by the Chairman, and consisting of the Rapporteur (Mr Belet) and the Shadow Rapporteurs (Mr Groote, Mr Duncan, Mr Gerbrandy, Ms Kyllonen, Mr Eickhout and Ms Evi)</li> </ul> <p><b><u>Required majority: 35 votes</u></b></p> | + |  |  |
|--|---|--|--|